

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

Kei NISHIOKA

Serial No: Not assigned

Filed: November 17, 2000

For: METHOD AND APPARATUS FOR GENERATING SURROUND-SOUND DATA

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021

Place Customer Number
Bar Code Label here

Transmitted herewith for filing is the patent application identified above.

- ☒ 7 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 15 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to ROHM CO., LTD. ☒ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☒ A certified copy of Japanese Patent Application No. 11-326250 filed November 17, 1999 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ IDS enclosed (☐ with reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☒ Return postcard is enclosed.

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	5	-20	0	LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$80 SM=\$40	\$80	0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							0
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$ 710
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 710
G	ASSIGNMENT RECORDING FEE						\$ 40	\$ 40
	*LIST INDEPENDENT CLAIMS 1, 2.							

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- ☒ A check in the amount of \$ 710 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. 1.16
- ☒ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By: _____

Louis A. Mok
Registration No. 22,585
Attorney for Applicant(s)

Date: November 17, 2000

500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071
Telephone: (213) 337-6700
Facsimile: (213) 337-6701

11/17/00
Jc914 U.S. PTO

11-20-00

A

PATENT
Attorney Docket No: 81876.0018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kei NISHIOKA

Serial No: Not assigned

Filed: November 17, 2000

For: METHOD AND APPARATUS FOR GENERATING
SURROUND-SOUND DATA

Art Unit: Not assigned
Examiner: Not assigned

Jc515 U.S. PTO
09/15/14
11/17/00

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EL 589 806 200 US
Date of Deposit: November 17, 2000

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 710 as filing fee
- ☒ patent application (15 page(s) of specification; 5 claim(s); 1 page(s) of abstract
- ☒ 7 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to ROHM CO., LTD.
- ☒ certified copy of Japanese patent application No. 11-326250 which was filed November 17, 1999 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231.

Date: November 17, 2000

Hogan & Hartson, LLP
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

Darin Sutton
Name of person mailing papers

Signature